



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appn. of: Borgmeier et al.

Appln. No.: 10/657,604

Filed: 9/8/03

For: Receiving Part of a Fluid Plug Connection

Attorney Docket No: 11138-009

Examiner: Unknown

Art Unit: Unknown

Commissioner for Patents
U.S. Patent and Trademark Office
P. O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Attached is/are:

- X Information Disclosure Statement
- X Form PTO-1449
- X Nine Reference Copies
- X Return Receipt Postcard

Fee calculation and payment:

- ☐ No additional fee is required.
- ☐ An extension fee in an amount of \$_____ for a _____-month extension of time under 37 C.F.R. § 1.136(a).
- ☐ A petition or processing fee in an amount of \$_____ under 37 C.F.R. § 1.17(_____).
- ☐ An additional filing fee has been calculated as shown below:

					Small Entity		Other Than Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	Rate	Add'l Fee
Total		Minus			x \$9=		x \$18=	
Indep.		Minus			x 43=		x \$86=	
First Presentation of Multiple Dep. Claim					+\$145=		+\$290=	
					Total	\$	Total	\$

Fee calculation and payment:

- ☒ A check in the amount of \$_____ to cover the above-identified fee(s) is enclosed.
- ☐ Please charge Deposit Account No. 23-1925 in the amount of \$_____. A copy of this Transmittal is enclosed for this purpose.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925. A copy of this Transmittal is enclosed for this purpose.

Respectfully submitted,


Steven L. Oberholtzer, Esq. (Reg. No. 30,670)
Attorney/Agent for Applicant

January 9, 2004
Date

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, U.S. Patent and Trademark Office, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:

Date: January 9, 2004 Name: M. Collins Signature: 

BRINKS
HOFER
GILSON
& LIONE

BRINKS HOFER GILSON & LIONE
P.O. Box 10395
Chicago, IL 60610



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Borgmeier et al.

Serial No.: 10/657,604

Filed: 9/8/03

For: Receiving Part of a
Fluid Plug Connection

Examiner: Unknown

Group Art Unit: Unknown

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450
Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicant cites the following references (each listed for the Examiner's convenience on the enclosed Form PTO-1449):

No.	Date of Publication	Country
EP 0 727 027	12/29/99	Europe
EP 0 926 415	6/30/99	Europe
EP 1 054 203	11/22/00	Europe
DE 35 20 161	12/11/86	Germany
DE 195 11 063	11/9/95	Germany
DE 195 20 099	1/2/97	Germany
DE 197 42 917	5/6/99	Germany
DD 240 059	10/15/86	Germany
DE 195 26 316	1/23/97	Germany

A copy of each listed reference for which a copy is required under 37 C.F.R. §1.97(a)(2) is also enclosed. Applicant respectfully solicits the Examiner's consideration of the listed references and entry thereof into the record of this application.

By submitting this Statement, Applicant is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this

Statement is not intended to constitute a representation that a search has been made, or as an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicant has calculated no fee to be due in connection with the filing of this Statement. However, the Commissioner is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

January 9, 2004

Date:



Steven L. Oberholtzer (Reg. No. 30,670)

☒ Attorney/Agent Of Record

☐ 37 C.F.R. 1.34(a)

Enclosures: Form PTO-1449 (one sheet)
Copies of listed references



FORM PTO-1449	SERIAL NO. 10/657,604	CASE NO. 11138-009
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE 9/8/03	GROUP ART UNIT Unknown
(use several sheets if necessary)		APPLICANT(S): Borgmeier et al.

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1				
	A2				
	A3				
	A4				
	A5				

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO
	A6	EP 0 727 027	12/29/99	Europe	X
	A7	EP 0 926 415	6/30/99	Europe	
	A8	EP 1 054 203	11/22/00	Europe	X
	A9	DE 35 20 161	12/11/86	Germany	X
	A10	DE 195 11 063	11/9/95	Germany	X
	A11	DE 105 20 099	1/2/97	Germany	X
	A12	DE 197 42 917	5/6/99	Germany	X
	A13	DD 240 059	10/15/86	Germany	X
	A14	DE 105 26 316	1/23/97	Germany	X

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)
	A11
	A12
	A13
	A14
	A15
	A16

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.